

# Wrap Fee Brochure

March 7, 2011

## **Joseph Barry Co., LLC**

*a Registered Investment Adviser*

128 Union Street, Suite 507  
New Bedford, MA 02740

(888) 992-8601

[www.josephbarry.com](http://www.josephbarry.com)

This wrap fee brochure provides information about the qualifications and business practices of Joseph Barry Co., LLC (hereinafter "Joseph Barry Co."). If you have any questions about the contents of this brochure, please contact Joseph M. Barry at (888) 992-8601. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Additional information about Joseph Barry Co., LLC is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Joseph Barry Co., LLC is an SEC registered investment adviser. Registration does not imply any level of skill or training.

## Item 2. Material Changes

Joseph Barry Co. does not have any material changes to disclose in this Item.

**Item 3. Table of Contents**

Item 1. Cover Page ..... i

Item 2. Material Changes ..... ii

Item 3. Table of Contents ..... iii

Item 4. Services, Fees, and Compensation ..... 4

Item 5. Account Requirements and Types of Clients ..... 6

Item 6. Portfolio Manager Selection and Evaluation ..... 7

Item 7. Client Information Provided to Portfolio Managers ..... 10

Item 8. Client Contact with Portfolio Managers ..... 11

Item 9. Additional Information ..... 12

### Item 4. Services, Fees, and Compensation

The Joseph Barry Co., LLC Program (the "*Program*") is a wealth management program sponsored by Joseph Barry Co. The *Program* provides clients with the ability to trade in certain investment products without incurring separate brokerage commissions or transaction charges.

To join the *Program*, a client must:

- (1) Complete an investor profile that describes the client's financial needs, investment objectives, time horizon, and risk tolerance, as well as any other factors relevant to the client's specific financial situation and any other supporting documentation the *Program* requires;
- (2) Complete the investment advisory wrap fee agreement (the "*Agreement*") with Joseph Barry Co.;
- (3) Complete a new account agreement with Fidelity Institutional Wealth Services ("*Fidelity*") or another broker dealer Joseph Barry Co. approves for participation in the *Program* ("*Financial Institution*"); and
- (4) Open a securities brokerage account with the *Financial Institution* and deposit those assets designated for participation in the *Program* into the account.

As discussed in detail in Item 6 below, all clients in the *Program* grant Joseph Barry Co. either non-discretionary or discretionary authority to buy, sell, and trade in certain eligible securities for their accounts and to liquidate previously-purchased securities that the client has transferred to their accounts.

After an analysis of any information provided by the client to Joseph Barry Co., Joseph Barry Co. assists the client in developing an appropriate investment strategy for the assets in their accounts. Thereafter, all clients are encouraged to discuss their needs, goals, and objectives with Joseph Barry Co. and to keep Joseph Barry Co. informed of any changes thereto. Joseph Barry Co. contacts ongoing clients at least annually to review its previous services and/or recommendations and to determine whether changes should be made to their investment strategy.

Neither Joseph Barry Co. nor the client may assign the *Agreement* without the consent of the other party. Transactions that do not result in a change of actual control or management of Joseph Barry Co. are not considered an assignment.

## Fees for the Program

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Clients in the *Program* pay a single annualized fee for participation in the *Program* (the “*Program Fee*”). The *Program Fee* is prorated and charged quarterly, in advance, based upon the average-month end balance of the assets under management during the previous quarter. The *Program Fee* varies (between 0.50% and 0.70%) depending upon the market value of the assets under management and the type of wealth management services rendered, as follows:

PORTFOLIO VALUE	BASE FEE
First \$5,000,000	0.70%
Next \$5,000,000	0.60%
Above \$10,000,000	0.50%

Joseph Barry Co., in its sole discretion, may charge a lesser *Program Fee* based upon certain criteria (i.e., pre-existing relationships, anticipated future additional assets, dollar amount of assets to be managed, related accounts, account composition, account retention, *pro bono* activities, etc.).

If assets are deposited into or withdrawn from an account after the inception of a quarter, the fee payable with respect to such assets will not be adjusted or prorated based on the number of days remaining in the quarter.

## Fee Comparison

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Under the *Program*, clients receive both investment advisory services and the execution of transactions for a single, combined annualized fee, the *Program Fee*. Participation in the *Program* may cost the client more or less than purchasing such services separately. The number of transactions made in the client’s accounts, as well as the commissions charged for each transaction, determines the relative cost of the *Program* versus paying for execution on a per transaction basis and paying a separate fee for advisory services. The *Program Fee* may be higher or lower than fees charged by other sponsors of comparable investment advisory programs.

## Other Charges

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Clients may incur certain charges imposed by third parties in addition to the *Program Fee* including, but not limited to, charges imposed directly by a mutual fund or exchange-traded fund (“ETF”) in the account, which is disclosed in the fund’s prospectus (e.g., fund management fees and other fund expenses), deferred sales charges, odd-lot differentials, transfer taxes, wire transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions.

## Item 5. Account Requirements and Types of Clients

The *Program* participants include individuals, pension and profit sharing plans, trusts, estates, charitable organizations, corporations and business entities.

### **Minimum Account Size**

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As a condition for starting and maintaining a relationship, Joseph Barry Co. generally imposes a minimum portfolio size of \$5,000,000. Joseph Barry Co., in its sole discretion, may accept clients with smaller portfolios based upon certain criteria including pre-existing relationships, anticipated future additional assets, dollar amount of assets to be managed, related accounts, account composition, account retention, and *pro bono* activities. Joseph Barry Co. shall only accept clients with less than the minimum portfolio size if, in the sole opinion of Joseph Barry Co., the smaller portfolio size will not cause a substantial increase of investment risk beyond the client's identified risk tolerance. Joseph Barry Co. may aggregate the portfolios of family members to meet the minimum portfolio size.

## Item 6. Portfolio Manager Selection and Evaluation

Joseph Barry Co. is the sponsor and portfolio manager for the *Program*. Joseph Barry Co. practices a traditional value approach to asset management that begins with a comprehensive investment plan aimed at determining the client's current financial position and developing goals that Joseph Barry Co. seeks to help each client achieve.

Joseph Barry Co. has been in business since November 2007. Joseph M. Barry and Patrick J. Barry are the principal owners of Joseph Barry Co.

Joseph Barry Co. has \$504,179,000 of assets under management as of March 1, 2011. \$1,252,000 of these assets is managed on a discretionary basis, and \$502,927,000 is managed on a non-discretionary basis.

This wrap brochure describes the business of Joseph Barry Co. Certain sections will also describe the activities of *Supervised Persons*. *Supervised Persons* are any of Joseph Barry Co.'s officers, partners, directors (or other persons occupying a similar status or performing similar functions), or employees, or any other person who provides investment advice on Joseph Barry Co.'s behalf and is subject to Joseph Barry Co.'s supervision or control.

### Wealth Management Services

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Joseph Barry Co. provides its *Program* clients with wealth management services which may include a broad range of comprehensive financial planning services as well as discretionary and/or non-discretionary management of investment portfolios.

Joseph Barry Co. primarily allocates clients' investment management assets among individual equity securities, fixed income and cash equivalents in accordance with the investment objectives of the client. Joseph Barry Co. may also recommend mutual funds, ETFs and closed-end funds for niche investment areas or when appropriate for a particular account size.

### Methods of Analysis, Investment Strategies and Risk of Loss

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Joseph Barry Co. tailors its advisory services to the individual needs of clients. Before considering a client's investment needs, Joseph Barry Co. begins with a comprehensive financial analysis. This analysis allows Joseph Barry Co. to ensure that clients' investments are suitable for their investment needs, goals, objectives and risk tolerance. In the analysis, Joseph Barry Co. addresses a range of topics including net worth, tax reduction, education funding, retirement and estate planning, and income and asset protection. Joseph Barry Co. also examines investment tax implications, proper titling of assets for estate purposes, and the client's ability to achieve their desired retirement lifestyle.

Joseph Barry Co. assesses the client's goals, evaluates their current position and then plans and implements a financial strategy. As part of this process, Joseph Barry Co. also determines the client's sensitivity to risk. The goal of the portfolio is to meet the client's long-term financial needs.

Joseph Barry Co.'s investment approach is best described as Balanced Global Value. Balanced refers to the combining of equities, fixed income and cash. By Global, Joseph Barry Co. means that it includes international as well as domestic securities in client portfolios. Value refers to an equity selection technique that follows the traditional Graham and Dodd approach focused on what Joseph Barry Co. believes to be quality companies priced favorable relative to earnings and book values.

The mix or asset allocation of a client household portfolio is determined by comparing current market valuations to historical averages. Joseph Barry Co. compares the price earnings (P/E) ratio of the Standard and Poor's 500 Index to its historical average for equity exposure. The fixed income segment compares today's long-term United States Treasury rates to its long-term average. For example, when broad stock market valuations are high, Joseph Barry Co.'s recommended exposure is low. Occasionally, under certain market conditions Joseph Barry Co. will recommend a high allocation to cash. Asset allocations are further influenced by the client's investment objective: Income with Growth, Growth with Income, or Growth.

To select an investment, Joseph Barry Co. employs a database of 60,000 global companies. Screens are performed using value based parameters such as financial strength, favorable price and book value ratios. A draft list is compiled with advisor bias applied to eliminate companies, industries, or countries with perceived negative factors. Joseph Barry Co. seeks to use the experience from its investing history to further refine its buy list. Respected value investor publications are included in Joseph Barry Co.'s analysis as well. Client sensitivity to ownership of certain investments is also a factor that is considered.

Clients are advised to promptly notify Joseph Barry Co. if there are changes in their financial situation or investment objectives or if they wish to impose any reasonable restrictions upon Joseph Barry Co.'s management services.

### **Market Risks**

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The profitability of a significant portion of Joseph Barry Co.'s recommendations may depend to a great extent upon correctly assessing the future course of price movements of stocks and bonds. There can be no assurance that Joseph Barry Co. will be able to predict those price movements accurately.

An investment in a mutual fund or ETF involves risk, including the loss of principal. Mutual funds and ETFs are subject to secondary market trading risks. Shares of mutual funds and ETFs will be listed for trading on an exchange, however, there can be no guarantee that an active trading market for such shares will develop or continue. There can be no guarantee that a mutual funds' and ETFs' exchange listing or ability to trade its shares will continue or remain unchanged.

## Joseph Barry Co., LLC Wrap Fee Brochure

Investing in securities involves the risk of loss. Clients should be prepared to bear such loss.

### **Performance-Based Fees and Side-by-Side Management**

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Joseph Barry Co. does not provide any services for performance-based fees. Performance-based fees are those based on a share of capital gains on or capital appreciation of the assets of a client.

### **Voting Client Securities**

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Joseph Barry Co. is required to disclose if it accepts authority to vote client securities. Joseph Barry Co. does not vote client securities on behalf of its clients.

## **Item 7. Client Information Provided to Portfolio Managers**

As stated above, Joseph Barry Co. acts as the sponsor and portfolio manager to the *Program*. Certain types of wrap programs involve the services of multiple parties in these capacities. In those circumstances, the sponsor is required to disclose how and what type of information about the client it provides to portfolio managers. Joseph Barry Co. has no disclosures to make under this section.

**Item 8. Client Contact with Portfolio Managers**

There are no restrictions on a clients' ability to contact and consult with Joseph Barry Co.

## Item 9. Additional Information

### Disciplinary Information

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Joseph Barry Co. is required to disclose the facts of any legal or disciplinary events that are material to a client's evaluation of its advisory business or the integrity of management. Joseph Barry Co. does not have any required disclosures to this Item.

### Other Financial Industry Activities and Affiliations

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Joseph Barry Co. is required to disclose any relationship or arrangement that is material to its advisory business or to its clients with certain related persons. Joseph Barry Co. does not have any required disclosures to this Item.

### Code of Ethics

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Joseph Barry Co. and persons associated with Joseph Barry Co. ("Associated Persons") are permitted to buy or sell securities that it also recommends to clients consistent with Joseph Barry Co.'s policies and procedures.

Joseph Barry Co. has adopted a code of ethics that sets forth the standards of conduct expected of its associated persons and requires compliance with applicable securities laws ("*Code of Ethics*"). In accordance with Section 204A of the Investment Advisers Act of 1940 (the "Advisers Act"), its *Code of Ethics* contains written policies reasonably designed to prevent the unlawful use of material non-public information by Joseph Barry Co. or any of its associated persons. The *Code of Ethics* also requires that certain of Joseph Barry Co.'s personnel (called "*Access Persons*") report their personal securities holdings and transactions and obtain pre-approval of certain investments such as initial public offerings and limited offerings.

Unless specifically permitted in Joseph Barry Co.'s *Code of Ethics*, none of Joseph Barry Co.'s *Access Persons* may effect for themselves or for their immediate family (i.e., spouse, minor children, and adults living in the same household as the *Access Person*) any transactions in a security which is being actively purchased or sold, or is being considered for purchase or sale, on behalf of any of Joseph Barry Co.'s clients.

When Joseph Barry Co. is purchasing or considering for purchase any security on behalf of a client, no *Access Person* may effect a transaction in that security prior to the completion of the purchase or until a decision has been made not to purchase such security. Similarly, when Joseph Barry Co. is selling or considering the sale of any security on behalf of a client, no *Access Person* may effect a transaction in that security prior to the completion of the sale or until a decision has been made not to sell such security. These requirements are not applicable to: (i) direct obligations of the Government of the United States; (ii) money market instruments, bankers' acceptances, bank certificates of deposit, commercial paper,

repurchase agreements and other high quality short-term debt instruments, including repurchase agreements; (iii) shares issued by mutual funds or money market funds; and (iv) shares issued by unit investment trusts that are invested exclusively in one or more mutual funds.

Clients and prospective clients may contact Joseph Barry Co. to request a copy of its *Code of Ethics*.

### **Review of Accounts and General Reports**

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Joseph Barry Co. monitors wealth management portfolios as part of an ongoing process. Regular account reviews are conducted on at least a quarterly basis. Telephone or in-person meetings are conducted by a financial planner / investment manager team. All wealth management clients are encouraged to discuss their needs, goals, and objectives with Joseph Barry Co. and to keep Joseph Barry Co. informed of any changes thereto. Joseph Barry Co. contacts ongoing wealth management clients at least annually to review its previous services and/or recommendations and to discuss the impact resulting from any changes in the client's financial situation and/or investment objectives.

Unless otherwise agreed upon, clients are provided with transaction confirmation notices and regular summary account statements directly from the broker-dealer or custodian for the client accounts. Clients will also receive a report from Joseph Barry Co. that may include such relevant account and/or market-related information such as an inventory of account holdings and account performance.

### **Client Referrals and Other Compensation**

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Joseph Barry Co. is required to disclose any relationship or arrangement where it receives an economic benefit from a third party (non-client) for providing advisory services. In addition, Joseph Barry Co. is required to disclose any direct or indirect compensation that it provides for client referrals. Joseph Barry Co. does not have any required disclosures to this Item.

### **Financial Information**

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Joseph Barry Co. does not require or solicit the prepayment of more than \$1,200 in fees six months or more in advance. In addition, Joseph Barry Co. is required to disclose any financial condition that is reasonably likely to impair its ability to meet contractual commitments to clients. Joseph Barry Co. has no disclosures pursuant to this Item.

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Prepared by:



**MARKETCOUNSEL**<sup>®</sup>  
*The Adviser's Advisor*<sup>®</sup>

# Brochure Supplement

JUNE 14, 2011

## **JOSEPH M. BARRY JR.**

128 Union Street, Suite 507  
New Bedford, Massachusetts 02740

(888) 992-8601

This Brochure Supplement provides information about Joseph M. Barry Jr. that supplements the Disclosure Brochure of Joseph Barry Co., LLC (hereinafter "Joseph Barry Co."), a copy of which you should have received. Please contact Joseph Barry Co.'s Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Joseph M. Barry Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Joseph Barry Co., LLC, a Registered Investment Adviser**

128 Union Street, Suite 507, New Bedford, Massachusetts 02740 | (888) 992-8601  
[www.JosephBarry.com](http://www.JosephBarry.com)

## Item 2. Educational Background and Business Experience

Born 1949

### Post-Secondary Education

Babson College | BS, Business Administration | 1971

### Recent Business Background

Joseph Barry Co., LLC | Managing Member | November 2007 – Present

A.G. Edwards | Senior Vice President / Branch Manager | September 1999 – November 2007

### Professional Designation

Joseph M. Barry Jr. holds the professional designation of Chartered Financial Analyst (“CFA”). The CFA<sup>®</sup> charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA<sup>®</sup> charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA<sup>®</sup> program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

For additional information about this credential, please refer directly to the website of the organization that issues the designation.

## Item 3. Disciplinary Information

Joseph Barry Co. is required to disclose the pertinent facts regarding any legal or disciplinary events material to a client’s evaluation of Joseph M. Barry Jr. Joseph Barry Co. does not have any information to disclose in relation to this Item.

## Item 4. Other Business Activities

Joseph Barry Co. is required to disclose information regarding any investment-related business or occupation in which Joseph M. Barry Jr. is actively engaged. Joseph Barry Co. does not have any information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

Joseph Barry Co. is required to describe any arrangement under which Joseph M. Barry Jr. receives an economic benefit for providing advisory services from someone that is not a client of Joseph Barry Co. Joseph Barry Co. has no information to disclose in relation to this Item.

### **Item 6. Supervision**

While Joseph M. Barry Jr. is the firm's Managing Member and generally responsible for his own supervision, Patrick J. Barry, Principal, periodically reviews his advisory activities on behalf of Joseph Barry Co. The telephone number to reach Patrick J. Barry is (888) 992-8601.

Joseph Barry Co. supervises its personnel and the investments made in client accounts. Joseph Barry Co. monitors the investments recommended by Joseph M. Barry Jr. to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Joseph Barry Co. periodically reviews the advisory activities of Joseph M. Barry Jr., which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by Joseph M. Barry Jr.

# Brochure Supplement

JUNE 14, 2011

## **PATRICK J. BARRY**

128 Union Street, Suite 507  
New Bedford, Massachusetts 02740

(888) 992-8601

This Brochure Supplement provides information about Patrick J. Barry that supplements the Disclosure Brochure of Joseph Barry Co., LLC (hereinafter "Joseph Barry Co."), a copy of which you should have received. Please contact Joseph Barry Co.'s Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Patrick J. Barry is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Joseph Barry Co., LLC, a Registered Investment Adviser**

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[www.JosephBarry.com](http://www.JosephBarry.com)

## Item 2. Educational Background and Business Experience

Born 1978

### Post-Secondary Education

Fairfield University | B.S., Finance | 2000

### Recent Business Background

Joseph Barry Co., LLC | Principal | November 2007 – Present

A.G. Edwards | Financial Consultant | August 2002 – November 2007

## Item 3. Disciplinary Information

Joseph Barry Co. is required to disclose the pertinent facts regarding any legal or disciplinary events material to a client's evaluation of Patrick J. Barry. Joseph Barry Co. does not have any information to disclose in relation to this Item.

## Item 4. Other Business Activities

Joseph Barry Co. is required to disclose information regarding any investment-related business or occupation in which Patrick J. Barry is actively engaged. Joseph Barry Co. does not have any information to disclose in relation to this Item.

## Item 5. Additional Compensation

Joseph Barry Co. is required to describe any arrangement under which Patrick J. Barry receives an economic benefit for providing advisory services from someone that is not a client of Joseph Barry Co. Joseph Barry Co. has no information to disclose in relation to this Item.

## Item 6. Supervision

Joseph M. Barry, the firm's Managing Member, is generally responsible for supervising Patrick J. Barry's advisory activities on behalf of Joseph Barry Co. The telephone number to reach Joseph M. Barry is (888) 992-8601.

Joseph Barry Co. supervises its personnel and the investments made in client accounts. Joseph Barry Co. monitors the investments recommended by Patrick J. Barry to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as

## Joseph Barry Co., LLC Brochure Supplement

well as any restrictions previously requested by the client. Joseph Barry Co. periodically reviews the advisory activities of Patrick J. Barry, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by Patrick J. Barry.

# Brochure Supplement

JUNE 14, 2011

## JANE L. ABBOTT

128 Union Street, Suite 507  
New Bedford, Massachusetts 02740

(888) 992-8601

This Brochure Supplement provides information about Jane L. Abbott that supplements the Disclosure Brochure of Joseph Barry Co., LLC (hereinafter "Joseph Barry Co."), a copy of which you should have received. Please contact Joseph Barry Co.'s Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Jane L. Abbott is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Joseph Barry Co., LLC, a Registered Investment Adviser**

128 Union Street, Suite 507, New Bedford, Massachusetts 02740 | (888) 992-8601  
[www.JosephBarry.com](http://www.JosephBarry.com)

## Item 2. Educational Background and Business Experience

Born 1949

### Post-Secondary Education

Albertus Magnus College | B.A., Economics | 1971

### Recent Business Background

Joseph Barry Co., LLC | Financial Planner | November 2007 – Present

A.G. Edwards | Senior Financial Associate | September 1999 – November 2007

### Professional Designation

Jane L. Abbott holds the professional designation of CERTIFIED FINANCIAL PLANNER™ (“CFP®”). The CFP®, CERTIFIED FINANCIAL PLANNER™ and certification marks are financial planning credentials awarded by Certified Financial Planner Board of Standards Inc. (“CFP Board”) to individuals who meet its education, examination, work experience, and ethics requirements. Eligible candidates must have at least a bachelor's degree (or its equivalent) in any discipline from an accredited college or university in order to obtain a CFP® certification. The candidate also must pass an examination, have three years of personal financial planning experience, and meet the CFP Board's ethical requirements. To maintain the certification, the CFP Board requires individuals to complete 30 hours of continuing education hours every two years and renew an agreement to be bound by its Standards of Professional Conduct.

For additional information about this credential, please refer directly to the website of the organization that issues the designation.

## Item 3. Disciplinary Information

Joseph Barry Co. is required to disclose the pertinent facts regarding any legal or disciplinary events material to a client's evaluation of Jane L. Abbott. Joseph Barry Co. does not have any information to disclose in relation to this Item.

## Item 4. Other Business Activities

Joseph Barry Co. is required to disclose information regarding any investment-related business or occupation in which Jane L. Abbott is actively engaged. Joseph Barry Co. does not have any information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

Joseph Barry Co. is required to describe any arrangement under which Jane L. Abbott receives an economic benefit for providing advisory services from someone that is not a client of Joseph Barry Co. Joseph Barry Co. has no information to disclose in relation to this Item.

### **Item 6. Supervision**

Joseph M. Barry, the firm's Managing Member, is generally responsible for supervising Jane L. Abbott's advisory activities on behalf of Joseph Barry Co. The telephone number to reach Joseph M. Barry is (888) 992-8601.

Joseph Barry Co. supervises its personnel and the investments made in client accounts. Joseph Barry Co. monitors the investments recommended by Jane L. Abbott to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Joseph Barry Co. periodically reviews the advisory activities of Jane L. Abbott, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by Jane L. Abbott.

# Brochure Supplement

JUNE 14, 2011

## **KATHY A. ALVES**

128 Union Street, Suite 507  
New Bedford, Massachusetts 02740

(888) 992-8601

This Brochure Supplement provides information about Kathy A. Alves that supplements the Disclosure Brochure of Joseph Barry Co., LLC (hereinafter "Joseph Barry Co."), a copy of which you should have received. Please contact Joseph Barry Co.'s Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Kathy A. Alves is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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128 Union Street, Suite 507, New Bedford, Massachusetts 02740 | (888) 992-8601  
[www.JosephBarry.com](http://www.JosephBarry.com)

## Item 2. Educational Background and Business Experience

Born 1969

### Post-Secondary Education

University of Massachusetts, Dartmouth | B.S., Finance | 1991

### Recent Business Background

Joseph Barry Co., LLC | Financial Planner | November 2007 – Present

A.G. Edwards | Senior Financial Associate | September 1999 – November 2007

### Professional Designation

Kathy A. Alves holds the professional designation of CERTIFIED FINANCIAL PLANNER™ (“CFP®”). The CFP®, CERTIFIED FINANCIAL PLANNER™ and certification marks are financial planning credentials awarded by Certified Financial Planner Board of Standards Inc. (“CFP Board”) to individuals who meet its education, examination, work experience, and ethics requirements. Eligible candidates must have at least a bachelor's degree (or its equivalent) in any discipline from an accredited college or university in order to obtain a CFP® certification. The candidate also must pass an examination, have three years of personal financial planning experience, and meet the CFP Board's ethical requirements. To maintain the certification, the CFP Board requires individuals to complete 30 hours of continuing education hours every two years and renew an agreement to be bound by its Standards of Professional Conduct.

For additional information about this credential, please refer directly to the website of the organization that issues the designation.

## Item 3. Disciplinary Information

Joseph Barry Co. is required to disclose the pertinent facts regarding any legal or disciplinary events material to a client's evaluation of Kathy A. Alves. Joseph Barry Co. does not have any information to disclose in relation to this Item.

## Item 4. Other Business Activities

Joseph Barry Co. is required to disclose information regarding any investment-related business or occupation in which Kathy A. Alves is actively engaged. Joseph Barry Co. does not have any information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

Joseph Barry Co. is required to describe any arrangement under which Kathy A. Alves receives an economic benefit for providing advisory services from someone that is not a client of Joseph Barry Co. Joseph Barry Co. has no information to disclose in relation to this Item.

### **Item 6. Supervision**

Joseph M. Barry, the firm's Managing Member, is generally responsible for supervising Kathy A. Alves's advisory activities on behalf of Joseph Barry Co. The telephone number to reach Joseph M. Barry is (888) 992-8601.

Joseph Barry Co. supervises its personnel and the investments made in client accounts. Joseph Barry Co. monitors the investments recommended by Kathy A. Alves to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Joseph Barry Co. periodically reviews the advisory activities of Kathy A. Alves, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by Kathy A. Alves.

# Brochure Supplement

JUNE 14, 2011

## **ELIZABETH M. GARVEY**

128 Union Street, Suite 507  
New Bedford, Massachusetts 02740

(888) 992-8601

This Brochure Supplement provides information about Elizabeth M. Garvey that supplements the Disclosure Brochure of Joseph Barry Co., LLC (hereinafter "Joseph Barry Co."), a copy of which you should have received. Please contact Joseph Barry Co.'s Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Elizabeth M. Garvey is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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[www.JosephBarry.com](http://www.JosephBarry.com)

## Item 2. Educational Background and Business Experience

Born 1980

### Post-Secondary Education

Bentley University | M.S., Financial Planning | 2009

Villanova University | B.S., Finance | 2002

### Recent Business Background

Joseph Barry Co., LLC | Client Service Manager | April 2010 – Present

Calibre Advisory Services, Inc. | Associate | September 2004 – April 2009

## Item 3. Disciplinary Information

Joseph Barry Co. is required to disclose the pertinent facts regarding any legal or disciplinary events material to a client's evaluation of Elizabeth M. Garvey. Joseph Barry Co. does not have any information to disclose in relation to this Item.

## Item 4. Other Business Activities

Joseph Barry Co. is required to disclose information regarding any investment-related business or occupation in which Elizabeth M. Garvey is actively engaged. Joseph Barry Co. does not have any information to disclose in relation to this Item.

## Item 5. Additional Compensation

Joseph Barry Co. is required to describe any arrangement under which Elizabeth M. Garvey receives an economic benefit for providing advisory services from someone that is not a client of Joseph Barry Co.. Joseph Barry Co. has no information to disclose in relation to this Item.

## Item 6. Supervision

Joseph M. Barry, the firm's Managing Member, is generally responsible for supervising Elizabeth M. Garvey's advisory activities on behalf of Joseph Barry Co.. The telephone number to reach Joseph M. Barry is (888) 992-8601.

## Joseph Barry Co., LLC Brochure Supplement

Joseph Barry Co. supervises its personnel and the investments made in client accounts. Joseph Barry Co. monitors the investments recommended by Elizabeth M. Garvey to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Joseph Barry Co. periodically reviews the advisory activities of Elizabeth M. Garvey, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by Elizabeth M. Garvey.